

10/553395  
JCO6 Rec'd PCT/PTO 17 OCT 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q75284

Masahiro OHMORI

Appln. No.: National Stage of PCT/JP2004/005678

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: October 17, 2005

Examiner: Unknown

For: SUBSTRATE FOR PERPENDICULAR MAGNETIC RECORDING MEDIUM AND  
METHOD FOR PRODUCTION THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of an International Search Report in a counterpart application is submitted herewith. The USPTO should receive copies of the references cited in the International Search Report from the International Bureau. Applicant will furnish any missing references upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

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INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: National Stage of PCT/JP2004/005678  
Attorney Docket No. Q75284

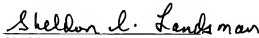
on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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23373

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Date: October 17, 2005

Substitute for Form 1449 A &amp; B/PTO

### **INFORMATION DISCLOSURE** **STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

*Complete if Know*

10/553395

Application Number	PCT/JP2004/005678
Confirmation Number	Unknown
Filing Date	October 17, 2005
First Named Inventor	Masahiro OHMORI
Art Unit	Unknown
Examiner Name	
Attorney Docket Number	O75284

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

### NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner Signature** /Monique Jackson/

Date Considered \_\_\_\_\_

08/03/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (national). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language translation is attached.